

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Felix Jonathan Kwan

Prime Capital Investment Advisors, LLC
2121 North California Blvd Suite 290 Walnut Creek, CA 94596
925-414-8271

Prime Capital Investment Advisors, LLC, Corporate
6201 College Blvd., Suite #150, Overland Park, KS 66211
913-491-6226

08/09/2024

This brochure supplement provides information about Felix Jonathan Kwan that supplements Prime Capital Investment Advisors, LLC's brochure. You should have received a copy of that brochure. Please contact Anthony Woodard, Chief Compliance Officer if you did not receive the Prime Capital Investment Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Felix Jonathan Kwan is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Felix Jonathan Kwan, Born 1977

Education Background

University of Tennessee, Knoxville, Bachelor of Science in Finance, 2000

Business Background:

Prime Capital Investment Advisors, LLC, Investment Advisor Representative, 08/2024 to current;
Financial Engines Advisors, LLC, Executive Director, 01/2022 to 08/2024;
Financial Engines Advisors, LLC, Director, 11/2018 to 01/2022;
Edelman Financial Services, LLC, Associate Director, 04/2012 to 11/2018;
EF Legacy Securities, LLC, Registered Representative, 04/2016 to 10/2018;
Sanders Morris Harris, INC, Registered Representative, 04/2012 to 04/2016;
Fidelity Investments, NA, VP, Private Client Group – Senior Account Executive, 04/2010 to 04/2012
Fidelity Investments, NA, Financial Planning Consultant, 04/2008 to 04/2010
Fidelity Investments, NA, Investments Representative, 04/2006 to 04/2008;
Citi Corp Investment Services, NA, Client Financial Analyst, 09/2004 to 04/2006;
MML Investors Services, INC, Registered Representative, 01/2004 to 09/2004;
Mass Mutual Financial, NA, Agent, 12/2003 to 09/2004;
Waddell & Reed, INC, Associated Person, 08/2002 to 12/2003;
Bank of America, NA, Bank Teller, 12/2001 to 08/2002;

Disciplinary Information

Felix Jonathan Kwan has no legal or disciplinary events to report.

Other Business Activities

Insurance Agent

Felix Jonathan Kwan is independently licensed to sell non-variable insurance and fixed annuity products through various insurance companies. When acting in this capacity, Felix Jonathan Kwan will receive commissions for selling these insurance and annuity products.

Felix Jonathan Kwan may also receive other incentive awards for the recommendation/sale of fixed annuities and other non-variable insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Felix Jonathan Kwan when recommending these products to his clients. While Felix Jonathan Kwan endeavors at all times to put the interest of his clients first as a part of Prime Capital Investment Advisors, LLC's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Felix Jonathan Kwan's decision making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Felix Jonathan Kwan and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Additional Compensation

In addition to the description of additional compensation provided in Item 4, Felix Jonathan Kwan can receive additional benefits.

Certain product sponsors may provide Felix Jonathan Kwan with other economic benefits as a result of Felix Jonathan Kwan's recommendation or sale of the product sponsors' investments. The economic benefits received by Felix Jonathan Kwan from product sponsors can include but are not limited to, approved financial assistance with or the sponsorship of meetings and client events, marketing support, approved reimbursement or payment of travel expenses, and tools to assist Felix Jonathan Kwan in providing various services to clients. Although Prime Capital Investment Advisors, LLC and Felix Jonathan Kwan endeavor at all times to put the interest of their clients ahead of their own interests or those of the firm's officers, directors, or representatives ("affiliated persons"), these arrangements could affect the judgment of Felix Jonathan Kwan when recommending investment products. These situations present a conflict of interest that may affect the judgment of affiliated persons including Felix Jonathan Kwan.

Supervision

Anthony Woodard is the Chief Compliance Officer of Prime Capital Investment Advisors, LLC. He is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Felix Jonathan Kwan. Anthony Woodard can be reached at 913-491-6226.